

# Using nonlinear data analysis and data mining to assess physiological changes in preterm infants measured with near-infrared spectroscopy, pulse oximetry and electrocardiography

F. Scholkmann<sup>1</sup>, S. Kleiser<sup>1</sup>, M. Pastweski<sup>1</sup>, T. Hapuarachchi<sup>2</sup>, C. Hagmann<sup>3</sup>, J.-C. Fauchère<sup>3</sup>, M. Wolf<sup>1</sup>

<sup>1</sup> Biomedical Optics Research Laboratory, Division of Neonatology, University Hospital Zurich, 8091 Zurich, Switzerland,

<sup>2</sup> Department of Medical Physics and Bioengineering, University College London, London, UK,

<sup>3</sup> Division of Neonatology, University of Zurich, Zurich, 8091, Switzerland

**BORL** Biomedical Optics  
Research Laboratory  
University Hospital Zurich | Division of Neonatology

Contact: Felix.Scholkmann@usz.ch

University Hospital  
Zurich  
Division of  
Neonatology

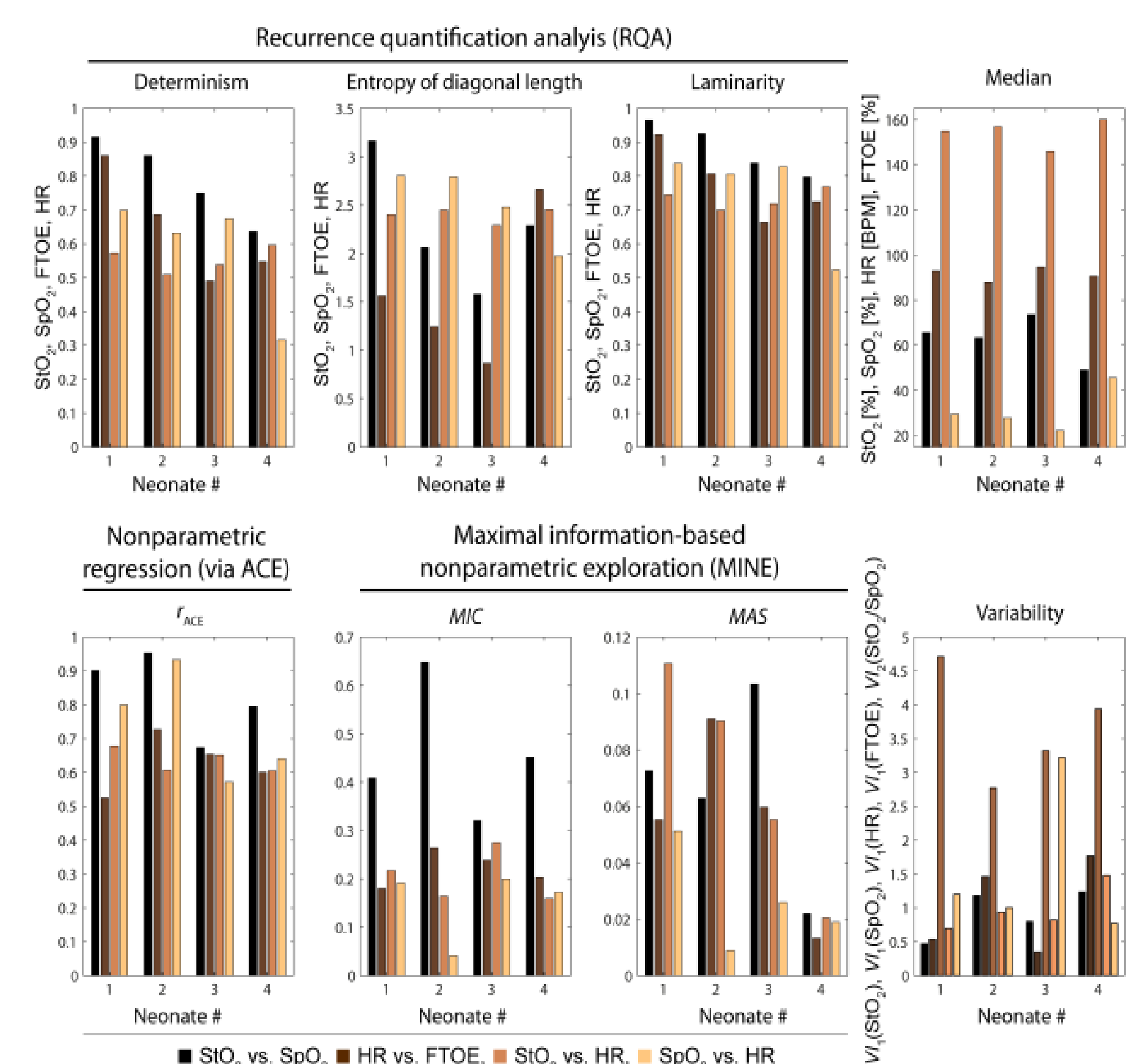
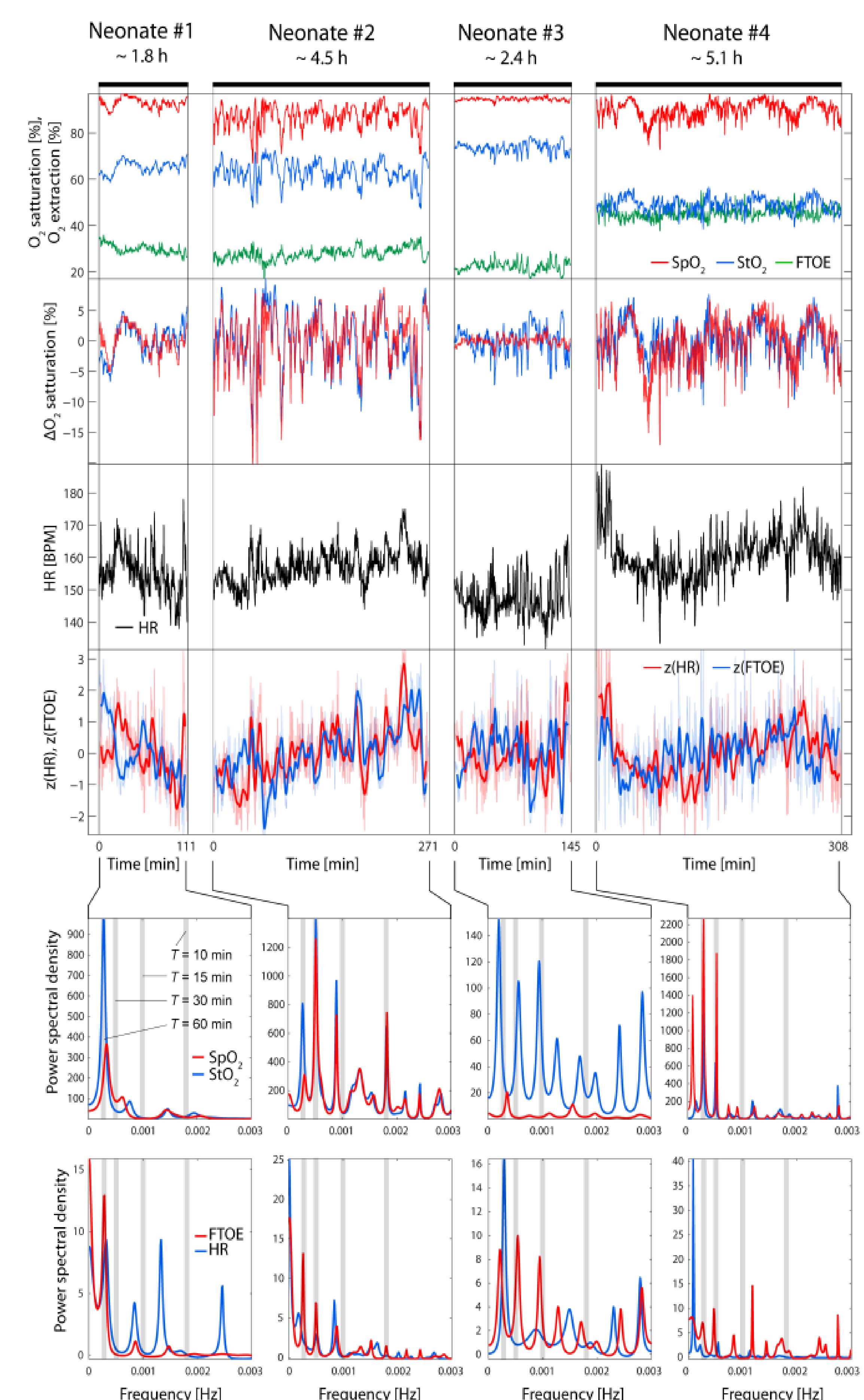
Preterm infants have an underdeveloped regulation system for respiration as well as systemic ( $\text{StO}_2$ ) and cerebral ( $\text{StO}_2$ ) blood circulation. This increases the occurrence of pathophysiological processes in cerebral hemodynamics (i.e. hypoxic, hyperoxic episodes). Aim of the study: (i) long-term measurements of  $\text{StO}_2$ ,  $\text{SpO}_2$ ; (ii) application of a new analysis framework to access the regulatory state of the hemodynamic systems.

## Material and Methods

- Total study population:  $n = 20$  (gestational age [GA] at birth:  $29.9 \pm 2.3$  weeks). Analyzed preterm infants in this study:  $n = 4$ .
- Parameters:  $\text{StO}_2$ ,  $\text{SpO}_2$ , FTOE (fractional tissue oxygen extraction), HR (heart rate).  $\text{StO}_2$ : via near-infrared spectroscopy (NIRS).
- Analysis:
  - (i) phase-space modeling & recurrence quantification analysis (RQA),
  - (ii) maximum entropy spectral analysis (MESA),
  - (iii) nonparametric nonlinear regression based on the alternating conditional expectation (ACE) algorithm,
  - (iv) the maximal information-based nonparametric exploration (MINE) technique.
- Additional parameters: variability index 1 and 2 ( $VI_1$ ,  $VI_2$ )

## Results, Discussion & Conclusions

- Subject-specific signal dynamics.
- Inverse correlation between  $\text{StO}_2$  and Htc.
- Neonate #3: large  $VI_1$ , low  $\text{StO}_2/\text{SpO}_2$  correlation ( $r_{\text{ACE}}$ ,  $MIC$ ), difference in frequency spectra  $\rightarrow$  ductus arteriosus.
- Oscillations with  $T \approx 60$  and  $30$  min.
- Neonates with the lowest GA (#2, #4): largest variability of  $\text{StO}_2$ ,  $\text{SpO}_2$  and FTOE.
- Novel framework: premising tool to access the systemic and cerebral regulatory state based only on  $\text{StO}_2$ ,  $\text{SpO}_2$  and HR measurements.



**Fig. 1:** Visualization of the analyzed signals, frequency spectra and numerical values obtained by RQA, ACE and MINE.